

# Black hole engineering in short scale modified gravity

Piero Nicolini

Frankfurt Institute for Advanced Studies  
&

Institut für Theoretische Physik  
Johann Wolfgang Goethe-Universität

*nicolini@fias.uni-frankfurt.de*

2nd FLAG Meeting, Trento 6-8 June 2016

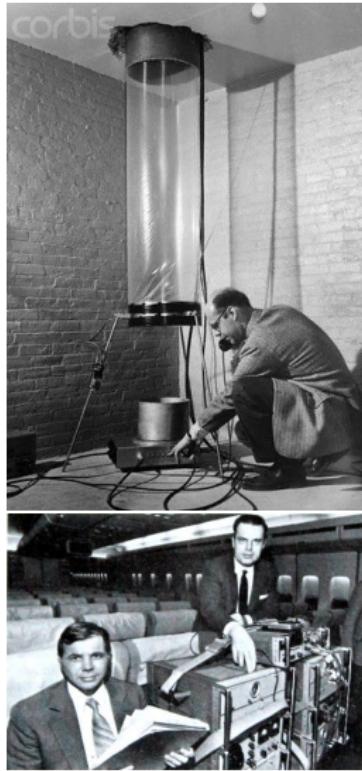
Talk partially based on  
PN & E. Spallucci, Adv. High En. Phys. **2014** (2014) 805684  
A.M. Frassino, S. Köppel, PN, Entropy **18** (2016) 181

# Table of Contents

- 1 The issue of microscopic black holes
- 2 Holographic metric in  $(3 + 1)$  dimensions
- 3 AdS background and extension of the Hawing-Page phase diagram
- 4 Phenomenology of scrambling black holes
- 5 Conclusions

# Gravity → General Relativity

- ▶ Classical tests of GR
  - ① the perihelion precession of Mercury's orbit
  - ② the deflection of light by the Sun
  - ③ the gravitational redshift of light
- ▶ Modern tests of GR
  - ① Hulse-Taylor binary
  - ② direct detection of GWs
  - ③ ...
- ▶ Most accurate theory in physics
- ▶ Weakly constrained at short scales



Pound-Rebka (1959), Hafele-Keating (1971)

# Short scale limits

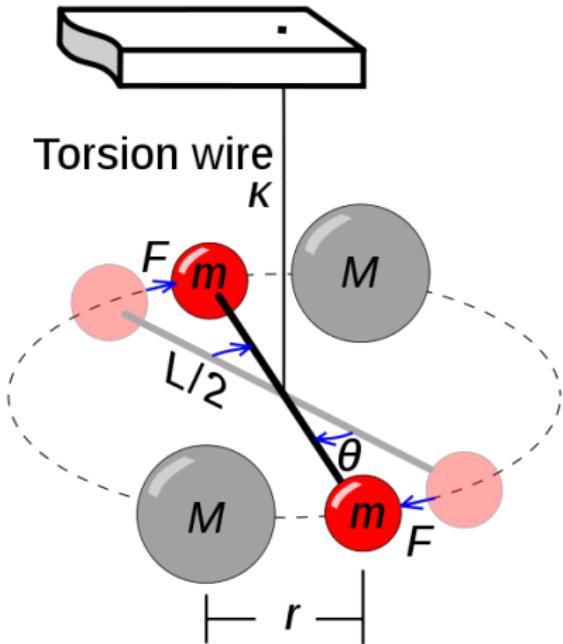
- ▶ deviations of Newton's law

$$\begin{aligned}\Phi &= -G \frac{M}{r} \left(1 + \alpha e^{-r/R_*}\right) \\ \Rightarrow R_* &< 44 \text{ } \mu\text{m}\end{aligned}$$

- ▶  $f(R)$ -gravity tests

$$\begin{aligned}f(R) &\simeq a_0 + a_1 R + a_2 R^2 + \dots \\ \Rightarrow \sqrt{a_2} &< 63 \text{ } \mu\text{m}\end{aligned}$$

[Cembranos (2009), Berry and Gair (2011),  
Capozziello & De Laurentis (2011)]



Scale drawing of the torsion pendulum and rotating attractor. See Hoyle *et al.*, PRL **86**, 1418 (2001).

# Theoretical issues of GR → Necessity of quantum gravity

## Point of view

Any approach towards quantum structure of spacetime is useful iff it has something sensible to say about:

- ▶ Singularity in cosmology
- ▶ Black hole singularity
- ▶ Cosmological constant problem

– T. Padmanabahn, 1st Karl Schwarzschild Meeting, Frankfurt am Main, 2013

## I would add at least...

- ▶ Microscopic origin of black hole thermodynamics
- ▶ Information paradox
- ▶ (Hierarchy problem)

# Top-Down approach

Formulate a theory → get phenomenological predictions

Difficulties...

- ▶ Theories are hard to formulate
- ▶ Several competing theories on market
- ▶ Few phenomenological predictions

## For black holes

- ▶ String theory [Strominger & Vafa, 1996; Mathur, 2005]
- ▶ Loop Quantum Gravity [Modesto, 2006; Rovelli & Vidotto, 2014]
- ▶ Asymptotically safe gravity [Bonanno & Reuter, 2000]
- ▶ Effective theories
  - ▶ Noncommutative geometry [Calmet & Kobakhidze, 2005; PN, Smailagic & Spallucci, 2006]
  - ▶ Generalized uncertainty principle [Maggiore, 1993; Alder, Chen & Santiago, 2001; Carr, Mureika & PN, 2015]
  - ▶ Nonlocal gravity [Modesto, Moffat & PN, 2011]
  - ▶ Strong electrodynamics [Bardeen, 1968; Beato & Garcia, 1998]
  - ▶ Unparticles [Gaete, Helayel-Neto, Spallucci, 2010; Mureika & Spallucci, 2010]

# Bottom-up approach

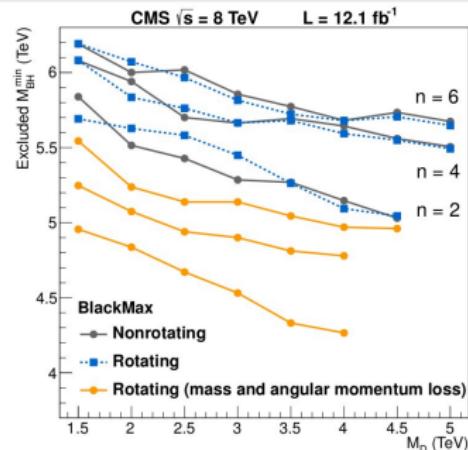
Get experimental data → reconstruct the theory that fits them

Difficulties...

- ▶ absence/paucity of data
- ▶ narrow observational window around  $M_P$

## Terascale black holes signatures

- ▶ Hadronic/leptonic activity  $\sim 5:1$
- ▶ Visible transverse energy of order 30% of the total energy
- ▶ Suppression of hard di-jets events
- ▶ Emission of a few hard visible quanta
- ▶ Black hole remnants
  - ▶ neutral: modified momentum distribution
  - ▶ charged: ionizing tracks



- ▶ rules out BHs with  $M = 4.5 - 6.5 \text{ TeV}$ .
- ▶ **CAVEAT:** semiclassical analysis breakdown!

# Spacetime engineering

Assume a Principle → derive/postulate a consistent spacetime  
→ derive phenomenology → falsify/confirm the Principle

Difficulties...

- ▶ consistency of the proposed spacetime
- ▶ paucity/absence of data

Advantages...

- ▶ viable derivation (toy model)
- ▶ exposure of new effects



## Examples of black hole engineering

### Principle: curvature finiteness

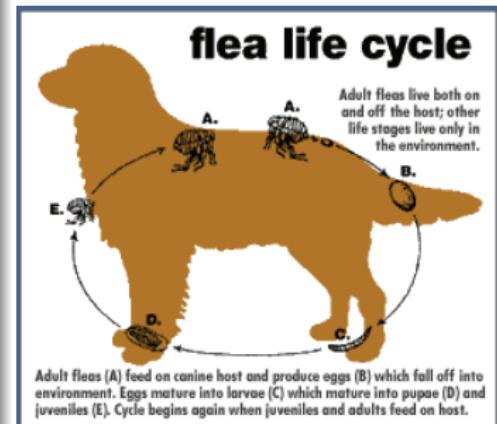
- ▶ Dymnikova's vacuum non-singular black holes, GREG 24, 235 (1992)
- ▶ Hayward's regular black hole, PRL 96, 031103 (2006)
- ▶ Manasse-Kazanas's regular black holes, PRD 72, 024016 (2005)

# Planckian black holes

- ▶ Planckian graviton scattering → bound state i.e. black hole
- ▶ Mass  $\sim M_P \sim 10^{19}$  GeV  $= 2 \times 10^{-8}$  kg,  
Size  $\sim L_P \sim 1.6 \times 10^{-35}$  m
- ▶ Fundamental qubit

$$N \sim \frac{r_+^2}{L_P^2}$$

- ▶ Classical metrics for  $N \rightarrow \infty$
- ▶ Spacetime dissolution at the Planck scale



The Planck scale

- ▶ Quantum  $N$ -portrait i.e. black hole as a condensate of  $N$  gravitons [Dvali and Gomez, 2013; ...]
- ▶ Horizon wave function formalism [Casadio, 2013; ...]
- ▶ Particle-like, non-geometric black hole description [Smailagic and Spallucci, 2016]
- ▶ Black hole precursors [Calmet, 2014]

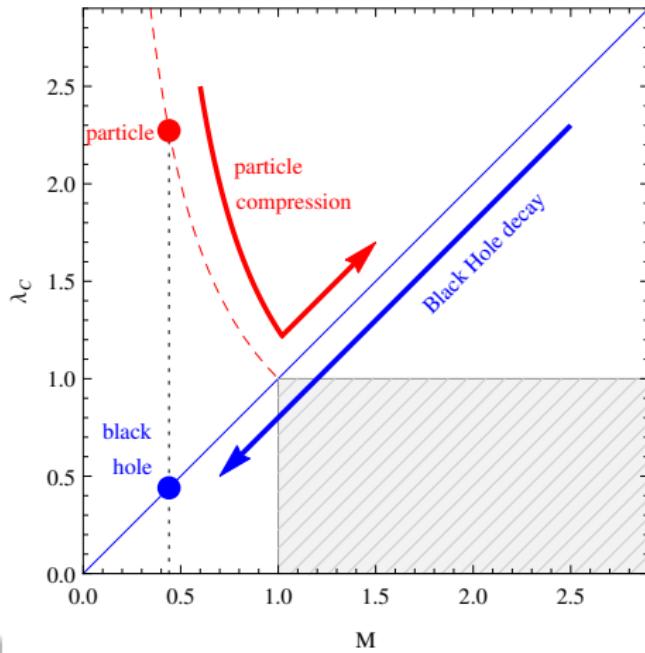
# Gravity ultraviolet self-completeness

- ▶ Planck scale separates phases: "Particles" and "BHs"
- ▶ Neo-classical regime for  $M \gg M_P$
- ▶ Singularity is masked – not accessible
- ▶ Spacetime at scales  $\leq L_P$  is dissolved.
- ▶ GUP interpolates the two regimes

$$R \sim \frac{1}{M} + L_P^2 M$$

## Problem

- ▶ The Schwarzschild metric does not fit in the scheme!



**ASDGFGC phase diagram**

[Aurilia & Spallucci, 2003]

[Dvali & Gomez, 2010]

[Dvali, Folkerts & Germani, 2010]

[Carr, 2013]

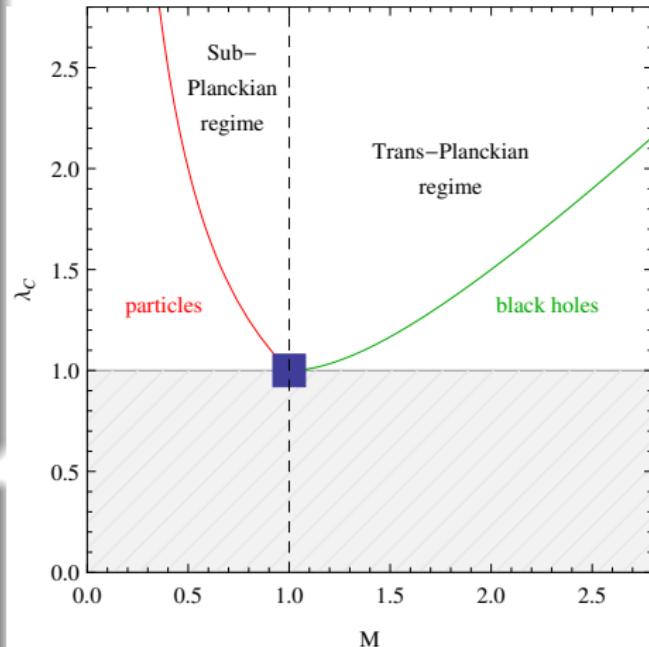
# Recipe for black hole engineering

## List of ingredients

- ▶ Inaccessibility of length scales below  $L_P$
- ▶ “Particle-BH” phase separation
- ▶ Asymptotic state at  $L_P$  and  $M_P$  = quibit (basic information capacity)
- ▶ Universal parameter  $N$ 
  - ▶  $r_h = \sqrt{N}L_P$
  - ▶  $M = \sqrt{NM_P}$
- ▶ Schwarzschild limit for  $N \rightarrow \infty$

## Cooking methods

- ➊ Turning on an effective  $\mathcal{T}_{\mu\nu}$
  - ➋ Gravity action modifications
- $$S = \frac{c^4}{16\pi G} \int \mathcal{R} \sqrt{-g} d^4x \rightarrow S = \frac{c^4}{16\pi G} \int f(\mathcal{R}, \square, \dots) \sqrt{-g} d^4x ,$$



# Table of Contents

- 1 The issue of microscopic black holes
- 2 Holographic metric in  $(3 + 1)$  dimensions
- 3 AdS background and extension of the Hawing-Page phase diagram
- 4 Phenomenology of scrambling black holes
- 5 Conclusions

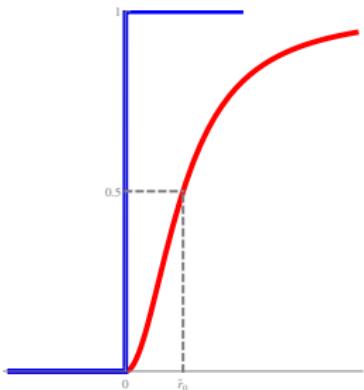
# General set up

- ▶ Particle energy density

$$\rho_p = \frac{M}{4\pi r^2} \delta(r) = \frac{M}{4\pi r^2} \frac{d\Theta(r)}{dr}$$

- ▶ Planck scale corrections  $\Theta(r) \rightarrow h(r)$

$$\rightsquigarrow \mathfrak{T}_\mu^\nu = \text{diag}(-\rho, p_r, p_\perp, p_\perp)$$



$$ds^2 = e^{2\Phi(r)} (1 - 2Gm(r)/r) dt^2 - (1 - 2Gm(r)/r)^{-1} dr^2 - r^2 d\Omega^2$$

- ▶  $m(r) = 4\pi \int dr r^2 \rho(r) = M \int dr \frac{dh(r)}{dr} \rightarrow m(r) = M h(r)$

$$\nabla_\mu \mathfrak{T}^{\mu\nu} = 0 \rightsquigarrow \frac{dp_r}{dr} = -G \frac{m(r) + 4\pi r^3 p_r}{r(r - 2Gm(r))} (\rho + p_r) + \frac{2}{r} (p_\perp - p_r)$$

- ▶ Equation of state

$$p_r = -\rho \Leftrightarrow \Phi(r) = 0$$

$\rightsquigarrow$  “clean” black hole solution

# Requirements → metric derivation

## Recap

Singularity inaccessibility

Particle/BH separation

Planckian asymptotic state

+

Only one “natural scale”



## ↔ Planckian extremal configuration!

- ▶ two horizons  $r_{\pm}$  coalescing in  $r_e = r_+ = r_-$ .

$$r_e \stackrel{!}{=} \sqrt{G} = L_P$$

$$M_e \stackrel{!}{=} 1/\sqrt{G} = M_P$$

- ▶ solution (“Holographic metric”) [PN and Spallucci, 2014]

$$\rightsquigarrow ds^2 = - \left( 1 - \frac{2L_P^2 M r}{r^2 + L_P^2} \right) dt^2 + \left( 1 - \frac{2L_P^2 M r}{r^2 + L_P^2} \right)^{-1} dr^2 + r^2 d\Omega^2$$

# Holographic metric – horizons and else

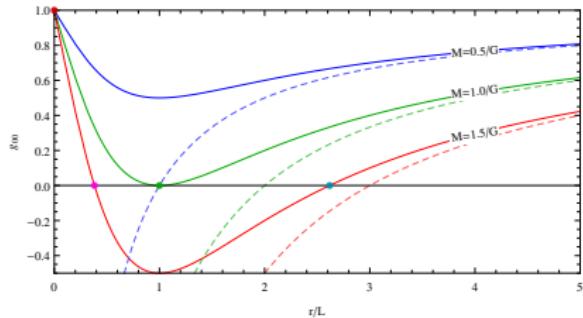
- ▶ Event horizon

$$r_+(N) = L_P^2 \left( M + \sqrt{M^2 - M_P^2} \right)$$

$$= \sqrt{N} L_P$$

$$\frac{\Delta r_+(N)}{r_+(N)} \sim \frac{1}{N^{3/2}}$$

$$M \gg M_P \rightsquigarrow r_+ \simeq 2ML_P^2 \left( 1 - \frac{1}{N} \right)$$



~~ **Macroquantumness!**, [Dvali and Gomez, 2012], [De Laurentis, PN & Rezzolla, in progress]

- ▶ Cauchy horizon

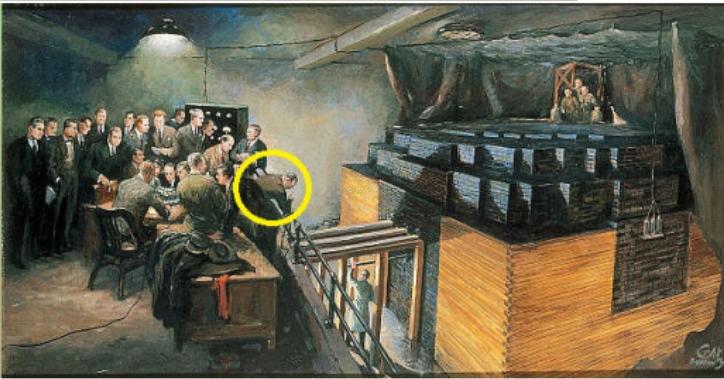
$$\begin{aligned} r_- &= \frac{L_P^2}{r_h} = L_P^2 \left( M - \sqrt{M^2 - M_P^2} \right) \\ &< L_P \text{ (not accessible!)} \end{aligned}$$

~~ **no mass inflation!**

- ▶ “Light” objects, with  $M < M_P$ , are (horizonless) “particles”.

# Holographic metric – Thermodynamics

SCRAM it off!



Safety Control Rod Axe Man (SCRAM) – Chicago Pile-1, Dec 2, 1942

# Holographic metric – Thermodynamics

- ▶ Black hole SCRAM

$$T = \frac{1}{4\pi r_+} \left( 1 - \frac{2L_P^2}{r_+^2 + L_P^2} \right) = \frac{M_P}{4\pi\sqrt{N}} \left( \frac{N-1}{N+1} \right)$$

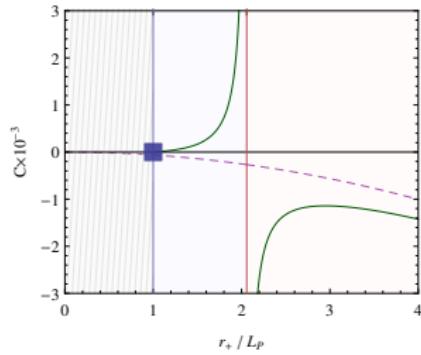
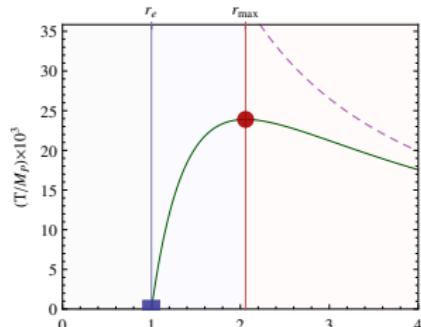
- ▶ heat capacity

$$C = -2\pi r_+ \left( \frac{r_+^2 - L_P^2}{L_P^2} \right) \frac{(r_+^2 + L_P^2)^2}{r_+^4 - 4L_P^2 r_+^2 - L_P^4}$$

- ▶ entropy

$$S(\mathcal{A}_+) = \frac{\pi}{\mathcal{A}_0} (\mathcal{A}_+ - \mathcal{A}_0) + \pi \ln(\mathcal{A}_+/\mathcal{A}_0)$$

$$S(N) = \pi (N + \ln(N) - 1)$$



Black hole temperature and heat capacity.

# Table of Contents

- 1 The issue of microscopic black holes
- 2 Holographic metric in  $(3 + 1)$  dimensions
- 3 AdS background and extension of the Hawing-Page phase diagram
- 4 Phenomenology of scrambling black holes
- 5 Conclusions

# Holographic metric in AdS background

- ▶ AdS term disturbs the extremal configuration
- ▶ Metric Ansatz

$$\begin{aligned} ds^2 &= g_{00} dt^2 + g_{rr} dr^2 + r^2 d\Omega^2 \\ -g_{00} &= g_{rr}^{-1} = \left( 1 - \frac{2L_P^2 M}{r} h_b(r) + \frac{r^2}{b^2} \right) \end{aligned}$$

- ▶ Boundary conditions

$$h_b(r) \rightarrow 0 \text{ as } r \rightarrow 0$$

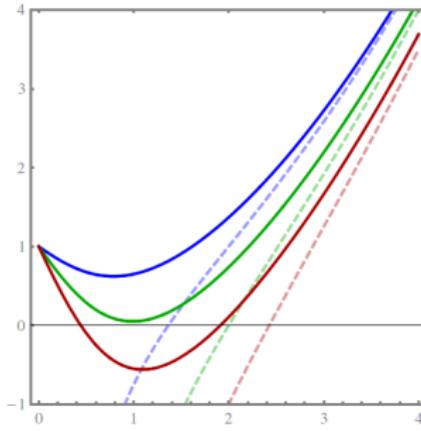
$$h_b(r) \rightarrow 1 \text{ as } r \rightarrow \infty$$

$$h_b(r) \rightarrow \frac{r^2}{r^2 + L_P^2} \text{ as } b \rightarrow \infty$$

- ▶ Planckian extremal black hole condition

$$r_e \stackrel{!}{=} \sqrt{G} = L_P$$

$$M_e \stackrel{!}{=} 1/\sqrt{G} = M_P + L_P/b^2$$

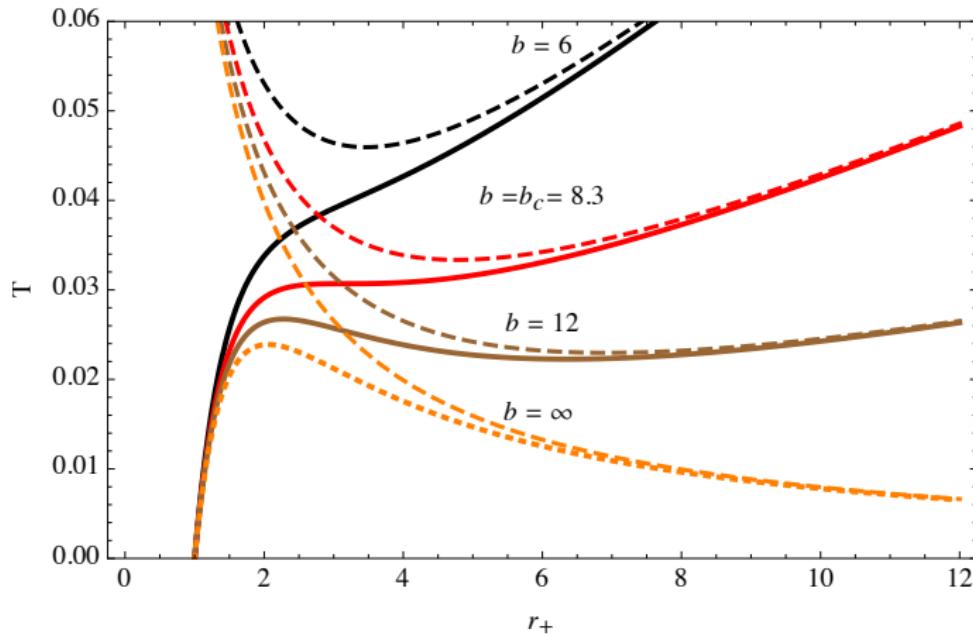


$$\rightsquigarrow h_b(r) = \frac{r^2}{r^2 + L_P^2 + \frac{4L_P^4}{b^2 - L_P^2}}$$

# Thermodynamics I

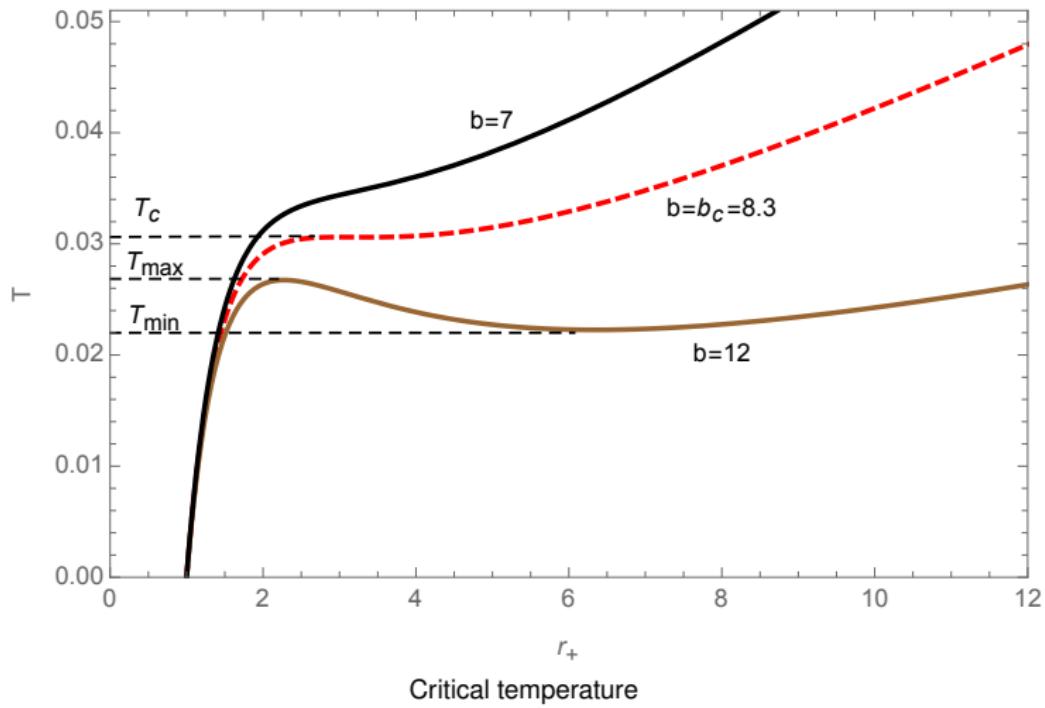
## ► Temperature

$$T = \frac{1}{4\pi r_+} \left[ \left( 1 + \frac{r_+^2}{b^2} \right) \left( 1 - r_+ \frac{h'_b(r_+)}{h_b(r_+)} \right) + \frac{2r_+^2}{b^2} \right]$$



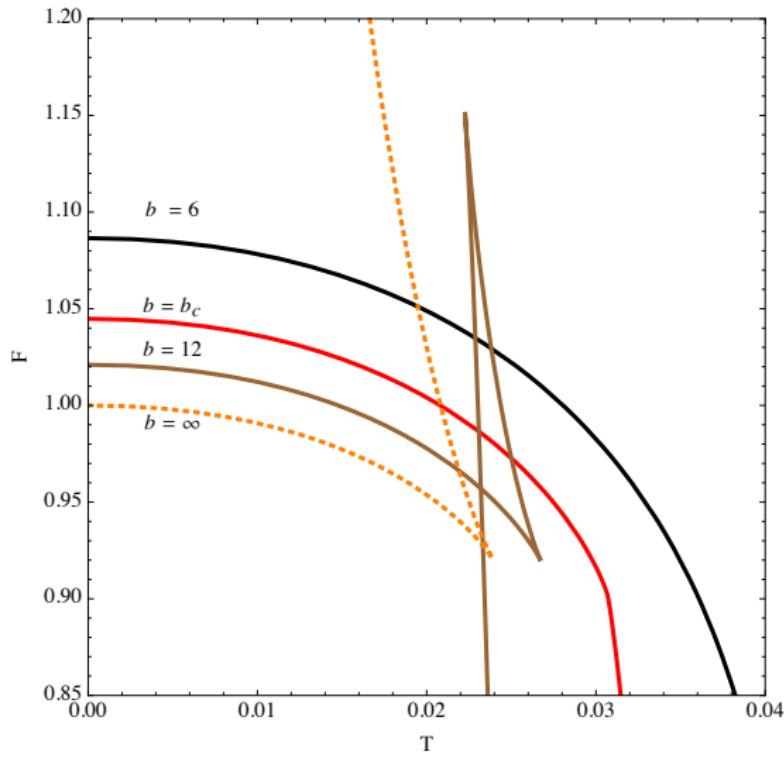
Note the critical value  $b_c \simeq 8.3 L_P$  where  $T_{min} = T_{max} = T_c$ .

# Thermodynamics II



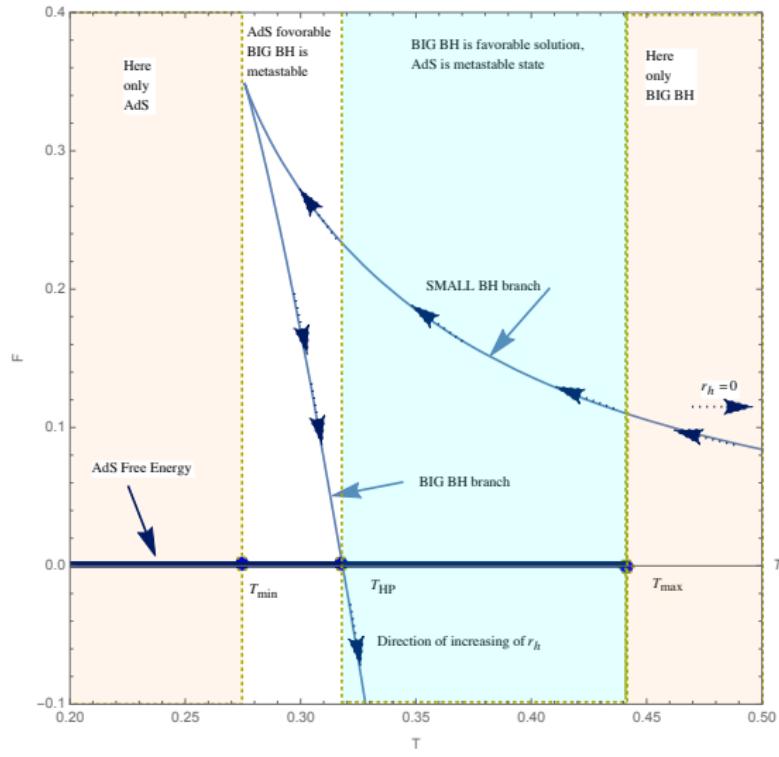
# Thermodynamics III

- ▶ Free energy  $F = F(T)$



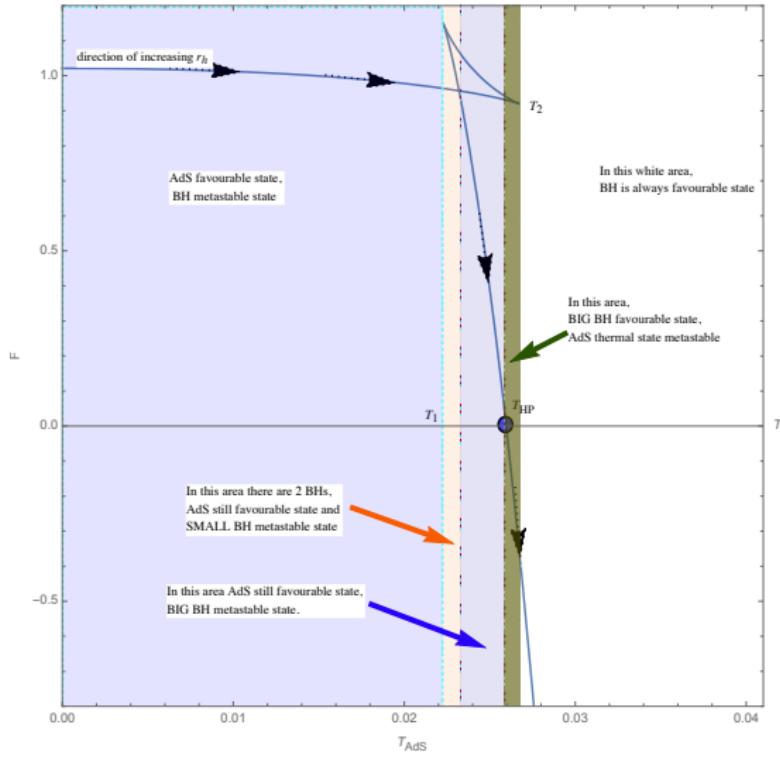
# Thermodynamics IV

- Free energy  $F = F(T)$  for Schwarzschild-AdS



# Thermodynamics V

- ▶ Free energy  $F = F(T)$  for Holographic-AdS



# Thermodynamics VI

## Summary

- ▶  $T < T_{min}$ : One horizon, positive heat capacity, locally stable system but meta-stable state. System favours AdS-thermal background instead of BH;
- ▶  $T_{min} < T < T_{max}$ : Three horizon radii  $r_1 < r_2 < r_3$  possible, where  $r_1$  and  $r_3$  are stable but  $r_2$  is locally unstable, so the mixed phase  $r_2$  eventually decays to  $r_1$  or  $r_3$ ;
- ▶  $T = T_{tr}$ : Phase transition between small and big black holes;
- ▶  $T_{tr} < T < T_{HP}$ : AdS is still favorable and bigger black holes are meta-stable. The Hawking-Page temperature, defined by,  $F(T_{HP}) = 0$ , separates BHs and AdS as favored states;
- ▶  $T > T_{coll}$ : AdS thermal radiation cannot longer sustain itself and collapses into black hole, as in regular Schwarzschild-AdS.

See also: Talk by Antonia Frassino this afternoon.

# Table of Contents

- 1 The issue of microscopic black holes
- 2 Holographic metric in  $(3 + 1)$  dimensions
- 3 AdS background and extension of the Hawing-Page phase diagram
- 4 Phenomenology of scrambling black holes
- 5 Conclusions

# Spacetime nucleation via instantons formalism

- ▶ Quantum state of the Universe [Gibbons and Hawking, 1973]

$$\Psi = \int D[g_{ab}] e^{-I_E[g]}$$

- ▶ Semiclassically we have  $I_E[g] \approx I$

$$P = |\Psi|^2 \sim \exp(-2I).$$

- ▶ Two instantons:

① **background**  $I_{\text{bg}}$

② **object** nucleated  $I_{\text{obj}}$  on the background

- ▶ the object/background pair nucleation rate is

$$\Gamma = \frac{P_{\text{obj}}}{P_{\text{bg}}} \sim \frac{\exp(-2I_{\text{obj}})}{\exp(-2I_{\text{bg}})}$$

- ▶ the background spacetime

$$ds_E^2 = V(r) d\tau^2 + V(r)^{-1} dr^2 + r^2 d\Omega^2$$

with  $V(r) = 1 - \frac{\Lambda}{3}r^2$ .

# Production of scrambling black holes

- ▶ for all instantons, rates are of the order [Mann and PN, 2011]

$$\Gamma \sim e^{-\frac{\pi}{\Lambda G}}$$

- ▶ however for the **Cold Instanton**

$$\Gamma_C > \Gamma_{SdS}$$

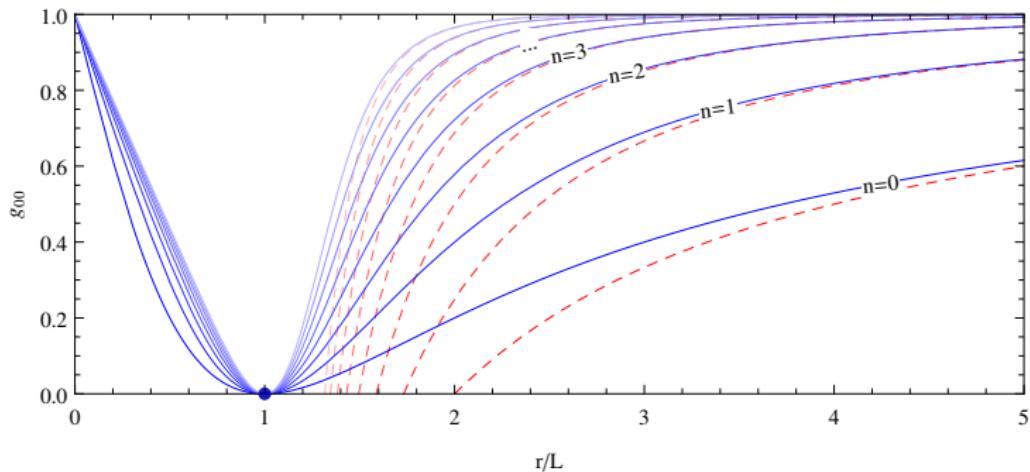
- ▶ *Nota bene:* for  $\Lambda G \sim 1$  there is no  $I_C$ , but  $I_1$  i.e. single horizon instanton.
- ▶ primordially produced BH scenario needs a revision!



# Higher-dimensional holographic metric

- ▶ Consider the extension to  $4 + n$  dimensions
- ▶ New scale  $M_*; L_* = 1/M_*$
- ▶ Terascale extremal black holes

$$\rightsquigarrow h_n(r) = \frac{r^{2+n}}{r^{2+n} + L_*^{2+n}}.$$



Metric coefficient for  $M = M_*$  in  $4 + n$  dimension

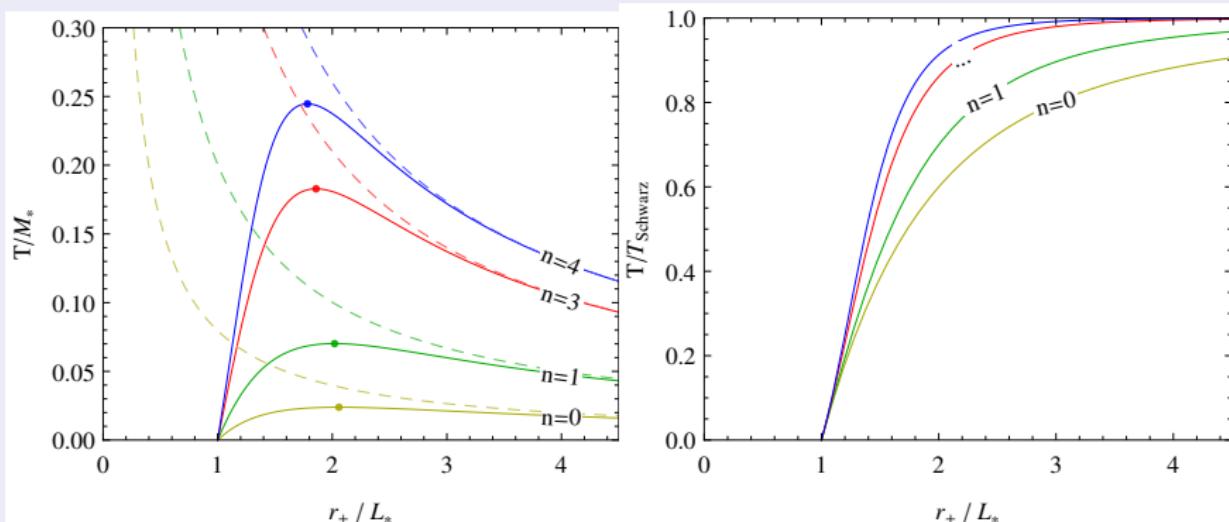
# Thermodynamics

- BHs are significantly colder  $\rightsquigarrow$  suppressed back reaction!

$n$	0	1	2	3	4	5	6	7
$r_{\max}/L_*$	2.06	1.60	1.48	1.41	1.36	1.33	1.30	1.28
$T_{\max}/M_*$	0.024	0.07	0.12	0.18	0.25	0.31	0.38	0.44

Table: Maximum temperatures  $T_{\max} \equiv T(r_{\max})$  of the holographic black hole.

## Temperatures in comparison with Schwarzschild-Tangherlini



# Evaporation and grey body factors

- ▶ Particle spectrum

$$\frac{dN^{(s)}}{dt} = \sum_j N_j \int \frac{g_{jn}^{(s)}(\omega)}{\exp(\hbar\omega/k_B T) \pm 1} \frac{d\omega}{(2\pi)}$$

where  $g_{jk}^{(s)}$  is the **grey body factor** and  $N_j$  is the  $j$ -state multiplicity.

- ▶ Bulk/brane emission decreases with increasing  $n$ .
- ▶ e.g. for  $n = 7$  bulk/brane 0.02% (in marked contrast to the usual result 93%)
- ▶ **emission dominated by soft particles mostly on the brane.**

[PN & Winstanley JHEP 1111, 075 (2011)]

## Summary and conclusions

- ▶ Spacetime engineering is not aiming to a description at fundamental level

RATHER

- ▶ it aims to derive concrete phenomenological repercussions as a theoretical testbed for a theory;
- ▶ we offered some insights about microscopic black holes
- ▶ signatures might be surprising if we slightly deviate from the GR description.

# Grazie per l'attenzione!

– Piero

# Back up material I – Non local gravity action

We want to derive the holographic metric as a non-local action in place of the Einstein-Hilbert action:

$$I = \frac{1}{16\pi G} \int d^4x \sqrt{-g} G^{\mu\nu} \frac{\mathcal{A}(\square/\mu^2)}{\square} R_{\mu\nu}$$

To first approximation the non local action  $I$  leads to field equations

$$\mathcal{A}(\square/\mu^2) \left( R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \right) + \mathcal{O}(R_{\mu\nu}^2) = 8\pi G T_{\mu\nu}$$

We want to shift all non local contributions to a smeared energy momentum tensor  $\mathfrak{T}_{\mu\nu} = \mathcal{A}^{-1}(\square/\mu^2) T_{\mu\nu}$  so

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi G \mathfrak{T}_{\mu\nu}$$

Plan: Compute  $\mathcal{A}(\square/\mu^2)$ .

## Back up material II – Derivation of the nonlocal operator

We can determine the operator  $\mathcal{A}^{-1}(\square/\mu^2)$  in the Fourier space: Acting on the Schwarzschild source, it generates the smeared matter term.

$$\frac{m(r)}{M} = \left( \frac{1}{2\pi|\vec{x}|} \right) \frac{L_P^2}{(|\vec{x}|^2 + L_P^2)^2} = \mathcal{A}^{-1} (\Delta/M_P^2) \delta^3(\vec{x})$$

The result reads

$$\begin{aligned} \mathcal{A}^{-1}(p) &= \frac{1}{2} \left[ e^{p/\mu} E_1(p/\mu) \left( \frac{1}{p/\mu} - 1 \right) + e^{-p/\mu} Ei(p/\mu) \left( \frac{1}{p/\mu} + 1 \right) \right] \\ &\approx 1 + \left[ \frac{\gamma}{3} - \frac{4}{9} + \frac{1}{6} \ln \left( \frac{\square}{\mu^2} \right) \right] \left( \frac{\square}{\mu^2} \right) + \frac{1}{60} \left[ 3\gamma - 4 + \ln \left( \frac{\square}{\mu^2} \right) \right] \left( \frac{\square}{\mu^2} \right)^2 \\ &\quad + O(\square^3/\mu^6) \end{aligned}$$

Einstein Gravity is consistently recovered in the limit

$$\lim_{\mu \rightarrow \infty} \mathcal{A} (\square/\mu^2) = 1.$$